

GIP 1642

DELIVERED BY HAND ON OCTOBER 7, 1999

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#6/7.R.
10/28/99

Application of: Pramod K. SRIVASTAVA

Application No.: 09/090,754

Group Art Unit: 1642

Filed: June 4, 1998

Examiner: G. Bansal

For: COMPOSITIONS AND METHODS
FOR THE PREVENTION AND
TREATMENT OF PRIMARY AND
METASTATIC NEOPLASTIC
DISEASES AND INFECTIOUS
DISEASES WITH HEAT
SHOCK/STRESS PROTEINS

Atty. Dkt. No.: 8449-04

RECEIVED
OCT 12 1999

TECH CENTER 1600/2900



SUPPLEMENTAL INFORMATION DISCLOSURE
STATEMENT UNDER 37 C.F.R. §§ 1.56 AND 1.97

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In accordance with the continuing duty of disclosure imposed by 37 C.F.R. § 1.56 to inform the U.S. Patent and Trademark Office of all references coming to the attention of each person associated with the filing and prosecution of the instant application which are or may be material to the patentability of any claim of the instant application, attorneys for Applicant hereby direct the Examiner's attention to reference FK listed on the attached List of References Cited by Applicant. A copy of reference FK is submitted herewith.

Identification of reference FK is not to be construed an admission of Applicant or attorneys for Applicant that such references is available as "prior art" against the instant application.

Applicant respectfully requests that the Examiner review reference FK and that this reference be made of record in the file history of the instant application.

It is believed that this Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits. Accordingly, no fee is believed to be required for this submission, in accordance with the provisions of 37 C.F.R. § 1.97(b)(3). However, should a fee be required, please charge the required amount to Pennie & Edmonds LLP deposit account no. 16-1150.

Respectfully submitted,



Date: October 7, 1999

S. Leslie Misrock 18,872
S. Leslie Misrock (Reg. No.)

By: Jonathan L. Klein 41,119
Jonathan L. Klein (Reg. No.)

PENNIE & EDMONDS LLP
1155 Avenue of the Americas
New York, New York 10036
(212) 790-9090

LIST OF REFERENCES CITED BY APPLICANT*(Use several sheets if necessary)*

ATTY. DOCKET NO.

8449-041

APPLICATION NO.

09/090,754

APPLICANT

SRIVASTAVA

FILING DATE

June 4, 1998

GROUP

1642

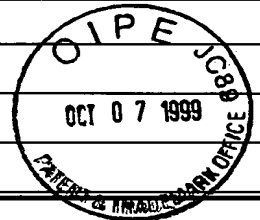
U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	FK	WO 99/29182	6/17/99	PCT				

OTHER REFERENCES *(Including Author, Title, Date, Pertinent Pages, Etc.)*



EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with **MPEP 609**; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.